

PATENT : 1214151

AND TRADEMARK OFFICE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## MAIL STOP PATENT APPLICATION

Commissioner for Patents Arlington, VA 22202

## TRANSMITTAL FOR A NEWLY EXECUTED ORIGINAL APPLICATION UNDER 37 C.F.R. §1.53(b)

This is a request for filing a patent application under 37 C.F.R. §1.53(b) for:

Inv	ventors: Haruo HARADA and Hiroshi ARISAWA		
Fo	r: LIGHT MODULATION MEDIUM AND LIGHT MODULATION METHOD		
1.	This is a new Utility Design Plant patent application.		
2. The papers enclosed to obtain a filing date are as follows:			
	Pages of Specification including:  Title Page  Pages of Description  Pages of Claims  Page of Abstract  Sheets of drawings containing 42 Figures  The enclosed drawings are photographs, and there is also attached a PETITION TO ACCEPT PHOTOGRAPH(S) AS DRAWING(S)		
3.	Combined Declaration and Power of Attorney		
	Enclosed and is executed by all inventors  Not Enclosed.  This application is being filed under the provisions of 37 C.F.R. §1.53(f).  Applicant(s) await notification from the Patent and Trademark Office of the time set for filing the Declaration and paying the filing fees.		

4.	Language			
	Non Thi of t	the MPEP. Applicant(s) fice of the time set for file	await notificatio	with 37 C.F.R. §1.52(d) and §608.0 n from the Patent and Trademark English translation and the processing
5.	Assignment		•	
	159	assignment of the inven 95, Recordation Form Co assignment will be filed	over Sheet, are er	x Co., Ltd. and a PTO Form- nclosed.
6.	Priority - foreign applications under 35 U.S.C. §119(a)-(d) or §365(b) or PCT international applications under 35 U.S.C. §365(a) designating at least one country other than the U.S.			
	Priority of the	following foreign applie	cation is claimed	:
	Country	Applicat		Filed
Japan		2002-3701	123	December 20, 2002
7.	Certified copy: is attached. will follow.  7. Priority based on provisional application(s) - 35 U.S.C. §119(e)			
Priority of the following provisional application(s) is claimed:				
	Applicati	on No.		Filed
	Am "Th	<u>-</u>	iority of copendia	the first line the sentence: ng provisional application(s)
8.	Small entity status	s		
			s) small entity sta	tus under 37 C.F.R. § 1.27.

1-WA/2018238.1

9. Fee Calculation (37 C.F.R. §1.16)

	Number Filed	Number Extra		Basic Fee Utility \$750.00 Design \$330.00
			BASIC FEE	\$750.00
Total Claims (37 C.F.R. §1.16(c))	10 - 20 =	0	18.00	
Independent Claims (37 C.F.R. §1.16(b))	2 - 3 =	0	84.00	
Multiple dependent clain	fultiple dependent claim(s), if any (37 C.F.R. §1.16(d)) 280.00			
			SUB-TOTAL =	\$750.00
	R	eduction by 1/2 for fili	ng by a small entity	
		SUB-TOTAL =	\$750.00	
Assignment Recordation Fee \$40.00			\$40.00	
		то	TAL FILING FEE =	\$790.00

## 10. Fee Payment

	Not Enclosed. NO FEE IS BEING PAID BY CHECK OR DEPOSIT ACCOUNT AT THIS TIME.  This application is being filed under the provisions of 37 C.F.R. §1.53(f) Applicant(s) await notification from the Patent and Trademark Office of the time set for filing the Declaration and paying the filing fees.
$\boxtimes$	Please charge Deposit Account No. 50-0310 in the amount of \$790.00 representing the basic filing fee of \$750.00 and an assignment recording fee of \$40.00 is enclosed

Except for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account No. 50-0310. This paragraph is intended to be a CONSTRUCTIVE PETITION FOR EXTENSION OF TIME in accordance with 37 C.F.R. §1.136(a)(3).

12.	Additional	naners	encl	losed:
12.	Additional	papers	CIIC	ioscu.

	Preliminary Amendment
$\boxtimes$	Information Disclosure Statement
$\boxtimes$	Form PTO-1449, 1 document included
	Declaration of Biological Deposit
	Submission of "Sequence Listing", computer readable copy and/or amendment
	pertaining thereto for biotechnology invention containing nucleotide and/or
	amino acid sequence

Please accord this application an application number and filing date.

Respectfully submitted,

MORGAN, LEWIS & BOCKIUS LLP

Robert J. Goodell-Reg. No. 41,040

Dated: July 8, 2003 Customer No. 09629 MORGAN, LEWIS & BOCKIUS LLP 1111 Pennsylvania Avenue, N.W. Washington, D.C. 20004 (202) 739-3000